

Spores and Yeasts of *Mucor Polymorphosporus* and their ability to Activate Human Complement System *in vitro*

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Abstract

Background: Mucormycosis is a severe disease that may affect susceptible people following the intake or inhalation of spores from fungi of the Mucorales order.

Objective: Research the ability of spores and yeasts of *Mucor polymorphosporus* in activate the complement system using human serum with or without chelators (EGTA or EDTA).

Methods: Complement consumption assays, ELISA to detect C3 and C4 fragments, MBL, CRP and IgG and Immunofluorescence tests for C3 fragments were carried out. **Results:** We found full complement consumption when incubation occurred with EGTA-Mg²⁺ or without chelators, suggesting that this activation was mainly achieved by the alternative pathway. C3 and C4 fragments, MBL, CRP and IgG were present on both forms tested. The amount of C4, MBL, CRP and IgG was almost three folds higher on spores compared to yeast, suggesting that while spores may trigger more than one pathway of activation, yeasts exhibit mainly alternative pathway activation. Immunofluorescence tests verified the incidence of C3 fragments throughout the surface of all samples, which were evenly distributed, suggesting effective opsonization.

Conclusion: Complement system is part of innate immunity, and its activation by the spores of *Mucor* sp., which can be present at the earlier stages of mucormycosis, may prove to be essential for fungal clearance from the host.

Keywords: Complement system; Fungi; *Mucor polymorphosporus*; Spores; Yeasts; Zygomycetes

Introduction

Mucormycosis is a severe disease that may affect susceptible people after intake [1] or inhalation [2] of spores from fungi of the Mucorales order [3]. Usually, cutaneous, pulmonary, rhinocerebral, gastrointestinal and disseminated outcomes are common manifestations for the disease [4]. Diabetes and its acidosis, neutropenia, leukemia and lymphoma have all been associated with the occurrence of this disease, indicating that it is usually opportunistic in nature [5]. Spores from these fungi usually give place to hyphae which is mainly responsible for tissue damage [6]. Even though that is true, yeasts from the Mucorales order have been reported in urine from a patient with bladder infection [7].

While *Rhizopus* sp. is accountable for the majority of mucormycosis infections [3], a large number of cases were reported with other species from the *Mucor* genus as the causes of infection [8-11].

The complement system is a complex and extensively regulated system, which contains both proteins that are soluble or cell bound and that can be activated, producing diverse outcomes such as: opsonization (C3b; C4b) and/or lysis (C5b-C9) of vulnerable microbes [12]. The activation may be triggered by three different pathways: one normally dependent on antigen-antibody reactions, the classical pathway; another initiated by spontaneous hydrolysis of the C3 thioester bond, the alternative pathway; and the lectin pathway, that uses Mannan Binding Lectin (MBL) or ficolins which recognizes certain carbohydrate patterns on the surface of microbes [13].

On a previous work [14], we displayed by ELISA the presence of C3, C4, MBL and IgG on spores of *M. circinelloides*, *M. ramosissimus* and *M. plumbeus* after complement activation. All species tested presented similar results. Considering this data, the major purpose of this work was to investigate if the same would occur on *M. polymorphosporus* spores and yeasts.

Materials and Methods

Fungal strain: *Mucor polymorphosporus* 1044 is a clinical specimen from Colecao de Culturas of Micoteca University Recife Mycologia (URM). URM is located at Universidade Federal De Pernambuco (UFPE), Brazil. For the experiments the fungus was cultured in plates containing Sabouraud-dextrose medium for 5 days at room temperature. Spores were harvested from the media in PBS pH 7.0. The fungus was also cultured, in Sabouraud-dextrose containing plates, in 30% CO₂ atmosphere using GasPak jars for 48 hours at 37°C. Yeasts were washed twice with PBS pH 7.0 Spore and yeast concentrations were determined by counting in Neubauer chamber [7, 15].

Complement source: A pool of Normal Human Serum (NHS) was obtained after 10 ml of blood was drawn from each of our coworkers [6], with their previous consent. The pool was adsorbed three times, each time with a pellet of sheep erythrocytes (10⁹ cells ml⁻¹ final concentration) for 30 minutes at 4°C, to remove any possible reacting antibodies against sheep erythrocytes. After centrifugation at the same temperature, supernatant was collected, aliquoted and kept at -80°C.

Hemolytic system: Sheep blood was drawn in Alsever's solution and then a sample was centrifuged (1400xg). The supernatant was removed and the pellet was washed twice with PBS pH 7.0. The pellet was then suspended in veronal buffered saline (VBS - 0.1% gelatin, 5mM sodium Veronal, 142 mM NaCl pH 7.35) containing Ca²⁺ (1.5 × 10⁻⁴M) and Mg²⁺ (1 × 10⁻³M) in order to contain 10⁹ cells ml⁻¹. To this suspension, we added equal volume of rabbit anti-sheep erythrocytes antibodies (Sigma Chemical Co. USA) diluted in VBS (1/3200), as previously described by Lima & Silva [16]. The mixture was incubated 30 minutes at 37°C. The suspension was then adjusted to 5 × 10⁸ cells ml⁻¹ according to Mayer [17].

Complement activators: The following were used in complement activation experiments: Spores and yeasts of *M. polymorphosporus*; Zymosan A (Sigma Chemical Co. USA), which was employed as the activation control of the alternative pathway.

Complement activation: *M. polymorphosporus* spores (10⁸ cells ml⁻¹) and yeasts (10⁷ cells ml⁻¹) were incubated with human adsorbed serum, treated or not with chelators (either 10 mM EDTA or 10 mM EGTA with 5 mM MgCl₂) at 37°C for 60 minutes. The final dilution of the serum was 1/10 in VBS (with chelators) or VBS with Ca²⁺ and Mg²⁺ (as described above). Serum treated or not with chelators without activators was used as negative control and 1 mg ml⁻¹ of Zymosan was used as positive control. After incubation, the samples were centrifuged (1400xg) at 4°C. After activation, the sera were diluted 1/5 in VBS with Ca²⁺ and Mg²⁺, making the final dilution of the sera 1/50. The residual complement was quantified in the resulting sera using the hemolytic system [17]. Briefly, the antibody coated-sheep erythrocytes is placed in contact with increasing volumes of this diluted serum (1/50) [17], which allows complement activation by the classical pathway, if there is still enough complement components in

the sera, after complement activation with our samples. The amount of hemoglobin released was determined in a spectrophotometer (Beckmann) at λ 540 nm. CH₅₀ ml⁻¹ was calculated by von Krogh's equation [17] and consumption percentages were determined according to positive and negative controls values.

ELISA: To assess complement fragments (C3, C4), CRP, IgG and MBL deposited on the surface of samples, 96 wells polystyrene plates (Corning, USA) were coated with 100 μL of 10⁷ spores in PBS pH 7.0 or 10⁶ yeasts in PBS pH 7.0 obtained from complement activation (10⁶ spores or 10⁵ yeasts per well). The plates were incubated for 1h/37°C and then overnight at 4°C. After washing with PBS pH 7.0, blocking buffer (2% BSA in PBS) was added and incubated for two hours at 37°C. In order to detect C3 fragments, goat antihuman C3 antibody, diluted 1/3000 (Calbiochem. USA) was added. To detect C4 fragments, rabbit antihuman C4, at 1/400 (DAKO-immunoglobulins A/S. Denmark) was used. To detect IgG, goat antihuman IgG, 1/5000 (Santa Cruz Biotechnology Inc. EUA). For CRP, rabbit antihuman CRP, 1/50 (Santa Cruz Biotechnology Inc. EUA) was included. For MBL, rabbit antihuman MBL, 1/50 (Santa Cruz Biotechnology Inc. EUA) was used. The plates were incubated for 1h/37°C. After incubation, the plates were washed 3 times with PBS pH 7.0. Secondary antibodies conjugated with peroxidase were then added to react for 60 minutes at 37°C. The terminal complexes were detected after incubation for 20 minutes with substrate solution pH 5.0 (4 μL H₂O₂ and 4 μg OPD in 10 ml of 100 mM citric acid, 100 mM NaH₂PO₄). The absorbance was measured at 490 nm (SLT-Spectra, Austria) after the reaction was stopped with 2N H₂SO₄ [18]. C3 and C4 detection were assayed using fungi incubated with serum treated or not with chelators, while IgG, CRP and MBL only used fungi incubated with serum without chelators. Non-activated samples, which had not been incubated with serum, were used in all tests as negative controls.

Immunofluorescence assay: The pellets resultant from the activation were washed 3 times each with PBS pH 7.0 and resuspended in 1ml of the same buffer. 10 μL samples of the fungi were distributed in immunofluorescence slides. After heat fixation, the slides were treated with 10 μL of fluorescein conjugated rabbit anti-human C3c (DAKO-immunoglobulins A/S. Denmark), placed at 37°C for 1 hour and then washed with PBS. Samples were counterstained with 0.1% Evan's blue dye [19], to suppress auto-fluorescence. The slides were microscopically observed on an Axioplan 2 epi-fluorescence microscope (Zeiss, Germany).

Statistical methods: Complement activation, ELISA and immunofluorescence assays were repeated three times. Complement consumption mean values were taken into account for evaluation. Analysis of variance and two tailed two sample *t*-test were applied to compare results from each test.

Results

Complement activation

The *M. polymorphosporus* forms were studied on their capacity to activate the human complement system *in vitro*,

using adsorbed human serum with or without chelators (EDTA or EGTA). Chelator presence on the serum had the purpose of checking the activation pathway utilized and potential cleavage of components by Ca^{2+} and/or Mg^{2+} independent enzymes of fungal origin [20]. The results can be observed in Figure 1. When samples were incubated with EDTA, no reduction in hemolytic activity was detected, while adding EGTA-Mg^{2+} , which elicits only the alternative pathway, or the lack of any of these substances, which, in turn, permits all pathways, confirmed full complement consumption. The serum without the presence of any activating particle (negative control) did not lose any hemolytic activity, even if there was addition of chelators. Both forms examined demonstrated the similar result pattern ($p > 0.05$).

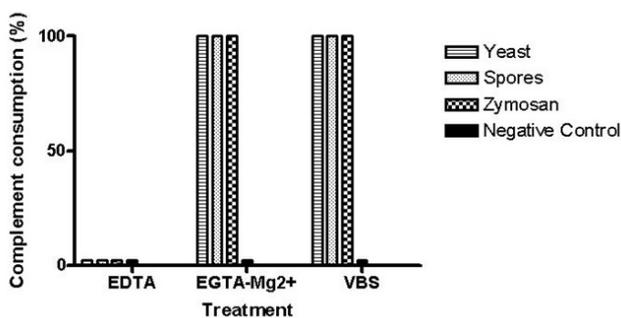


Figure 1: Complement consumption percentage by spores (108 spores per ml) and yeasts (107 spores per ml) of *Mucor polymorphosporus* after activation with adsorbed serum treated with or without chelators (EDTA or EGTA). Zymosan (1 mg/ml) is used as positive control, while serum without activating particles is used as negative control.

C3 and C4 fragments detection on fungal surfaces

C3 and C4 fragments deposited onto samples subsequent to activation, was assessed by ELISA. Both forms tested bound to C3 fragments in a similar manner (Figure 2). The data indicates efficient alternative pathway activation, since without chelators, the results were only a little superior than with EGTA-Mg^{2+} ($p < 0.05$). When comparing spore and yeast forms, the results overall showed that a higher amount of C3 bound to spores ($p < 0.05$) rather than yeasts (Fig. 2). C4 fragments results were quite contrasting ($p < 0.001$) for both forms, particularly when tested without any chelators (Figure 2), which corroborates with complement activation by the classical pathway. The amount of C4 which bound to spores was over 2 folds higher than on yeasts. C3 results for zymosan were higher than the other species evaluated ($p < 0.001$), when testing occurred either with or without EGTA. Zymosan displayed an inferior C4 deposition, when compared to the spores, if incubation was done without chelators ($p < 0.001$).

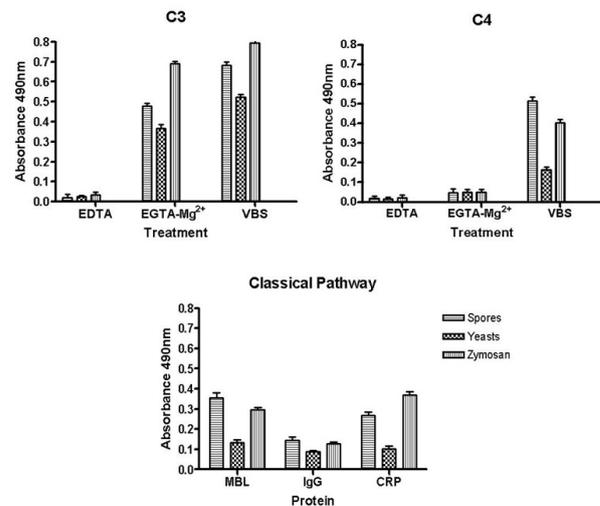


Figure 2: Deposition of C3 and C4 fragments, MBL, IgG and CRP onto spores and yeasts of *M. polymorphosporus* and *zymosan* analyzed by ELISA. Antibodies against each component were added and bound antibodies were detected with a peroxidase conjugated secondary antibody. Values are Optical Densities (OD) measured at 490 nm. The OD values of the controls were subtracted from the values shown.

IgG, CRP and MBL detection on fungal surfaces

The incidence of IgG, CRP and MBL on sample surfaces, after activation, was also examined. IgG, CRP and MBL deposition profiles were quite different for both forms tested (Figure 2). The proteins tested were also found on yeasts, however their levels were much lower ($p < 0.001$). This confirms the presence of C4 fragments, which requires activation by classical or lectin pathways.

MBL and IgG incidence on the positive control, zymosan, were comparable to those examined on the spores ($p > 0.05$). The results for CRP deposits on zymosan, though, were higher than the spores ($p < 0.001$).

The incidence of C3 fragments on fungal surfaces

The distribution of C3 fragments deposited onto the fungi was examined with or without EGTA, which chelates Ca^{2+} , essential for classical pathway activation.

Direct fluorescence technique was employed to identify C3 fragments on both *M. polymorphosporus* spores and yeasts (Figure 3). The tests demonstrated and uniformly fluorescence spread on both forms when incubation occurred in the presence or absence of EGTA-Mg^{2+} . Being as counterstaining with Evan's blue dye, which represses auto-fluorescence and non-specific antibodies, is accountable for this color spectrum, the presence of EDTA inhibits all pathway activations and thus its results show red fluorescence.

While under light microscopy, the relative area of both spore and yeast forms were measured. Fifteen samples of each were used to render the result of $55.2 \pm 6.36 \mu\text{m}^2$ for spores and $621.26 \pm 11.64 \mu\text{m}^2$ for yeasts.

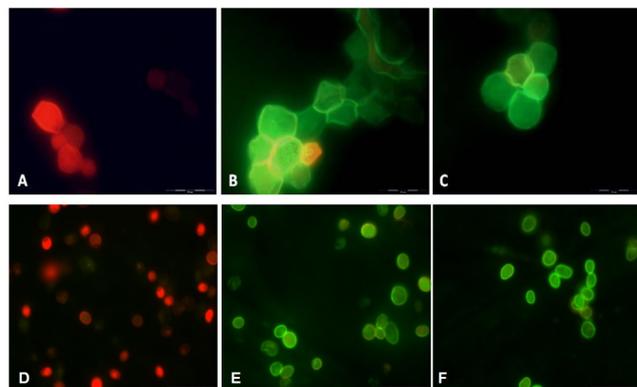


Figure 3: Direct immunofluorescence of C3 fragments on *M. polymorphosporus* using rabbit anti human C3c labeled with fluorescein. A - Yeasts incubated with serum + EDTA. B - Yeasts incubated with serum + EGTA-Mg²⁺. C - Yeasts incubated with serum without chelators. D - Spores incubated with serum + EDTA. E - Spores incubated with serum + EGTA-Mg²⁺. F - Spores incubated with serum without chelators. Red fluorescence is due to counterstain with Evan's blue dye, which suppresses auto-fluorescence and unspecific antibody binding, indicating negative antibody binding. White bar indicates 20 μm .

Discussion

The quantity of mucormycosis reports per year increases as times passes and now ranks third in the most frequent invasive mycosis charts [21]. After *Rhizopus* sp., *Mucor* species, alongside *Absidia* sp. and *Cunninghamella* sp., are mainly responsible for mucormycosis cases [3]. Our previous work has shown that *Mucor polymorphosporus* mycelia (20 mg/ml) from a clinical specimen could activate the complement system *in vitro*, presenting close to 40% of complement consumption [22].

Seeing as both forms had full complement consumption when all pathways were liberated or when only the alternative pathway was working, the logical indications is that the alternative pathway in both cases was predominant. The strong complement activation resultant from both spore and yeast forms is corroborated by the intense fluorescence observed after C3 was investigated on their surface. Several fungi, for instance, *Aspergillus fumigatus*, *Blastomyces dermatitidis*, *Candida albicans* and *Cryptococcus neoformans* have the ability to activate the alternative pathway of the complement system [12, 23]. Numerous phylogenetic papers [24-26] state that alternative pathway components are presumably the most primitive complement apparatus, which is in agreement with the thought that countless organisms can access the system by this path.

The cell concentration differences for yeast and spore forms were due to their contrast in size. While spores have an approximate area of $60 \mu\text{m}^2$, the yeasts are larger with $600 \mu\text{m}^2$, therefore an adjustment in concentration was necessary. For that reason 10^8 cells/ml was used for spores while 10^7 were used for yeasts. This similar surface area allowed the ELISA data from both forms to be comparable. C3 deposition onto yeast forms was lower than on spores ($p < 0.001$), especially when all pathways were allowed. The higher C3 fragment deposition, when all pathways were working together, was probably due to the participation, in some degree, of classical and/or lectin pathways. C3 fragment distribution onto spores and yeast were evenly displayed throughout the entire fungal surfaces, suggesting a competent opsonization of both forms. C3b/iC3b deposited subsequent to complement activation, can be active opsonins, which can be recognized by CR1 [27] and CR3 [28], respectively. Thus, confirmation of deposited C3b is critical to validate an successful complement response with opsonizing fragments, that could likely direct to the phagocytosis of an intruding microbe. Different papers have revealed that C3b and iC3b, that are able to produce ester or amide bonds with microbial surface structures [29], are detected on fungi after activation, such as: *Candida albicans* [30], *Aspergillus fumigatus* [31], *Cryptococcus neoformans* [32], *Blastomyces dermatitidis* [33], and as a result, could assist binding to phagocytes.

C4 presence was also assayed by ELISA. It was evident that C4 levels on yeasts were much lower than on spores, indicating that there was little C4 participation on yeasts, which normally is necessary for classical and/or lectin pathways. This data was corroborated by the fact that MBL, IgG and CRP levels were lower on yeasts when compared to spores. Since differentiation from spores to hyphae undergoes a yeast-like stage, this also suggest that as *M. polymorphosporus* shifts to hyphae, complement dependent removal of these cells is diminished. The presence of yeasts of *Mucor circinelloides* in a patient with bladder infection, although not related to the illness, showed that yeast-like form from this genus is viable in humans [7].

Normally, C3 deposition is a lot quicker by classical than alternative pathway [23], hinting that its usage would be more effective. Alternatively, if the classical pathway is utilized, IgG or IgM are habitually required [34] and, as a rule, adaptive immunity is necessary in order to obtain these antibodies. Since antibody synthesis takes some time, responses towards the antigen would be delayed. Hence, complement activation by the alternative pathway is decisive, as it directly influences antigen presenting to phagocytes, inducing adaptive immunity [27,28]. Recently, we perceived that mycelia from *M. polymorphosporus* had higher complement consumption when the whole set of pathways were available, contrary to alternative pathway activation [22]. This means that mycelia from *M. polymorphosporus*, and our tested samples, could employ activation by the classical pathway. On a recent work [6], immunohistological specimens from a patient with mucormycosis revealed the presence of C1q, MBL, IgG and IgM on hyphae of *Mucor* sp., confirming that *Mucor* species are able to bind these proteins *in vivo*. No C3 or C4 fragment

deposits were observed on the fungus, indicating inefficient complement activation by hyphae or absence of surface acceptors able to binds these proteins, which is in accordance with our earlier work [22].

Besides permitting activation by the classical pathway, CRP and IgG may also work as opsonins [34,35], being recognized by certain receptors, as FcγR [36,37]. Total complement consumption, could point to anaphylatoxin formation, which would carry out chemoattractant function, attracting neutrophils and dendritic cells [38,39]. Likewise, this could indicate competent microbial elimination.

Given that MBL shows affinity towards mannose rich carbohydrates [40], this would hint that the samples tested may contain the same type of structure. It has been demonstrated mannose residues on *Mucor rouxii* cell wall composition [41]. Our earlier work probed for MBL on the surface of *M. polymorphosporus* mycelia and could not identify any occurrence after complement activation [22]. A viable possibility is that there are structural differences between mycelial and spore forms. These differences have been acknowledged in *M. rouxii* [41].

On a previous study [14] we suggested that spores of an array of *Mucor* species may have comparable complement activation characteristics. As was reported, all species tested presented the indistinctive complement responses. For the spores of *M. polymorphosporus* the results were very similar, including C3 and C4 fragments, MBL, CRP and IgG. This indicates that spores from most *Mucor* species possess a similar profile.

The complement system is a branch of innate immunity, and activation by spores of *Mucor* sp., which may be present at the beginning of the disease, significantly improves fungal elimination from the patient. As the fungi progresses to hyphae, complement activation becomes impaired, enhancing the chance of developing the infection into mucormycosis, especially in immunocompromised individuals.

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